

## Protocol for the Examination of Specimens From Patients With Uveal Melanoma

**Protocol applies to malignant melanoma of the uvea.**

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**Based on AJCC/UICC TNM, 7th edition**

Protocol web posting date: January 2016

### **Procedures**

- Resection (Local Resection, Enucleation, Limited or Complete Exenteration)

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## CAP Uveal Melanoma Protocol Revision History

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### Version Code

The definition of the version code can be found at [www.cap.org/cancerprotocols](http://www.cap.org/cancerprotocols).

**Version:** UvealMelanoma 3.3.0.0

### Summary of Changes

The following changes have been made since the October 2013 release.

The following data elements were modified:

- Procedure
- Tumor Site
- Tumor Size After Sectioning
- Tumor Site After Sectioning
- Growth Pattern
- Histologic Type
- Distant Metastasis (pM)

The following data elements were deleted:

- Specimen Size
- Tumor Basal Size on Transillumination
- Histologic Grade

**Surgical Pathology Cancer Case Summary**

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**UVEAL MELANOMA: Resection (Local Resection, Enucleation, Limited or Complete Exenteration) (Note A)****Select a single response unless otherwise indicated.****Procedure**

- Local resection  
 Enucleation  
 Limited exenteration  
 Complete exenteration  
 Other (specify): \_\_\_\_\_  
 Not specified

**Specimen Laterality**

- Right  
 Left  
 Unspecified

**Tumor Site (macroscopic examination/transillumination) (select all that apply) (Note B)**

- Cannot be determined  
 Superotemporal quadrant of globe  
 Superonasal quadrant of globe  
 Inferotemporal quadrant of globe  
 Inferonasal quadrant of globe  
 Between \_\_\_\_ and \_\_\_\_ o'clock  
 Other (specify): \_\_\_\_\_

**Tumor Size After Sectioning (Note C)**

- Cannot be determined  
 Greatest basal diameter: \_\_\_\_ mm  
 + Base at cut edge: \_\_\_\_ mm  
 Greatest height: \_\_\_\_ mm  
 + Height at cut edge: \_\_\_\_ mm

**Tumor Site After Sectioning (Note D)**

- Cannot be determined  
 Superonasal  
 Inferonasal  
 Superotemporal  
 Inferotemporal  
 + Distance from anterior edge of tumor to limbus at cut edge: \_\_\_\_ mm  
 + Distance of posterior margin of tumor base from edge of optic disc: \_\_\_\_ mm

**Tumor Involvement of Other Ocular Structures (select all that apply)**

- Cannot be determined  
 Sclera  
 Vortex vein(s)  
 Optic disc  
 Vitreous  
 Choroid  
 Ciliary body

+ Data elements preceded by this symbol are not required. However, these elements may be clinically important but are not yet validated or regularly used in patient management.

- Iris
- Lens
- Anterior chamber
- Extrascleral extension (anterior)
- Extrascleral extension (posterior)
- Angle/Schlemm's canal
- Optic nerve
- Retina
- +  Cornea

**Growth Pattern**

- Cannot be determined
- Solid mass
- Dome shape
- Mushroom shape
- Diffuse (ciliary body ring)
- Diffuse (flat)

**Histologic Type (Note E)**

- Spindle cell melanoma (>90% spindle cells)
- Mixed cell melanoma (>10% epithelioid cells and <90% spindle cells)
- Epithelioid cell melanoma (>90% epithelioid cells)

**Microscopic Tumor Extension**

+ Tumor Location

- +  Anterior margin between equator and iris
- +  Anterior margin between disc and equator
- +  Posterior margin between equator and iris
- +  Posterior margin between disc and equator
- +  Cannot be determined
- +  None of above

Scleral Involvement

- Cannot be determined
- None
- Extrascleral
- Intrascleral

**Margins**

- Cannot be assessed
- No melanoma at margins
- Extrascleral extension (for enucleation specimens)
- Other margin(s) involved (specify): \_\_\_\_\_

**Pathologic Staging (pTNM) (Note F)**

TNM Descriptors (required only if applicable) (select all that apply)

- m (multiple primary tumors)
- r (recurrent)
- y (posttreatment)

Primary Tumor (pT)*Iris*

- pTX: Primary tumor cannot be assessed
- pT0: No evidence of primary tumor
- pT1: Tumor limited to the iris
  - pT1a: Tumor limited to the iris not more than 3 clock hours in size
  - pT1b: Tumor limited to the iris more than 3 clock hours in size
  - pT1c: Tumor limited to the iris with secondary glaucoma
- pT2: Tumor confluent with or extending into the ciliary body, choroid, or both
  - pT2a: Tumor confluent with or extending into the ciliary body, choroid, or both, with secondary glaucoma
  - pT3: Tumor confluent with or extending into the ciliary body, choroid, or both, with scleral extension
  - pT3a: Tumor confluent with or extending into the ciliary body, choroid, or both, with scleral extension and secondary glaucoma
- pT4: Tumor with extrascleral extension
  - pT4a: Tumor with extrascleral extension less than or equal to 5 mm in diameter
  - pT4b: Tumor with extrascleral extension more than 5 mm in diameter

*Ciliary Body and Choroid*

- pTX: Primary tumor cannot be assessed
- pT0: No evidence of primary tumor
- pT1: Tumor size category 1
  - pT1a: Tumor size category 1 without ciliary body involvement and extraocular extension
  - pT1b: Tumor size category 1 with ciliary body involvement
  - pT1c: Tumor size category 1 without ciliary body involvement but with extraocular extension less than or equal to 5 mm in diameter
  - pT1d: Tumor size category 1 with ciliary body involvement and extraocular extension less than or equal to 5 mm in diameter
- pT2: Tumor size category 2
  - pT2a: Tumor size category 2 without ciliary body involvement and extraocular extension
  - pT2b: Tumor size category 2 with ciliary body involvement
  - pT2c: Tumor size category 2 without ciliary body involvement but with extraocular extension less than or equal to 5 mm in diameter
  - pT2d: Tumor size category 2 with ciliary body involvement and extraocular extension less than or equal to 5 mm in diameter
- pT3: Tumor size category 3
  - pT3a: Tumor size category 3 without ciliary body involvement and extraocular extension
  - pT3b: Tumor size category 3 with ciliary body involvement
  - pT3c: Tumor size category 3 without ciliary body involvement but with extraocular extension less than or equal to 5 mm in diameter
  - pT3d: Tumor size category 3 with ciliary body involvement and extraocular extension less than or equal to 5 mm in diameter
- pT4: Tumor size category 4
  - pT4a: Tumor size category 4 without ciliary body involvement and extraocular extension
  - pT4b: Tumor size category 4 with ciliary body involvement
  - pT4c: Tumor size category 4 without ciliary body involvement but with extraocular extension less than or equal to 5 mm in diameter
  - pT4d: Tumor size category 4 with ciliary body involvement and extraocular extension less than or equal to 5 mm in diameter
  - pT4e: Any tumor size category with extraocular extension more than 5 mm in diameter

Regional Lymph Nodes (pN)

- pNX: Regional lymph nodes cannot be assessed
- pN0: No regional lymph node metastasis
- pN1: Regional lymph node metastasis

Distant Metastasis (pM) (required only if confirmed pathologically in this case)

- pM1: Distant metastasis
  - pM1a: Largest diameter of the largest metastasis 3 cm or less
  - pM1b: Largest diameter of the largest metastasis 3.1-8.0 cm
  - pM1c: Largest diameter of the largest metastasis 8.1 cm or more
- Specify sites(s), if known: \_\_\_\_\_

**+ Additional Pathologic Findings (select all that apply) (Note G)**

- +  None identified
- +  Mitotic rate (number of mitoses per 40X objective with a field area of 0.152 mm<sup>2</sup>)  
(specify): \_\_\_\_\_
- +  Extravascular matrix pattern
- +  Vascular invasion (tumor vessels or other vessels)
- +  Degree of pigmentation
- +  Inflammatory cells/tumor infiltrating lymphocytes
- +  Drusen
- +  Retinal detachment
- +  Invasion of Bruch's membrane
- +  Nevus
- +  Hemorrhage
- +  Neovascularization
- +  Other (specify): \_\_\_\_\_

**+ Comment(s)**

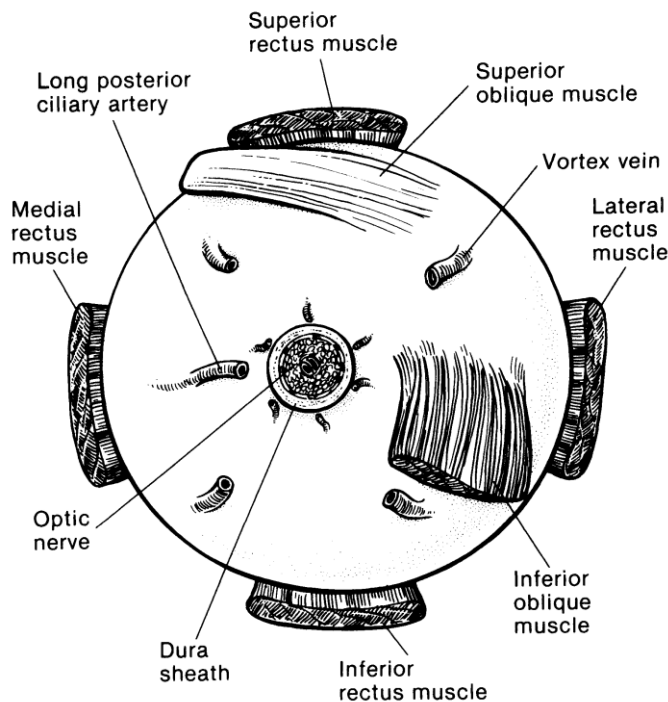
## Explanatory Notes

### A. Fixative

The minimum recommended fixation time for whole globes with intraocular tumors is 48 hours. The globe should be fixed in an adequate volume of fixative with a 10:1 ratio of fixative volume to specimen volume recommended. Incisions or windows in the globe are not necessary for adequate penetration of fixative and are not recommended. Injection of fixative into the globe is also not recommended.

### B. Orientation

The orientation of a globe may be determined by identification of extraocular muscle insertions, the optic nerve, and other landmarks, as illustrated in Figure 1. The terms *temporal* and *nasal* are generally used in place of *lateral* and *medial* with reference to ocular anatomy.



**Figure 1.** Anatomic landmarks of the posterior aspect of the globe (right eye). The position of the inferior oblique muscle relative to the optic nerve is most helpful in orienting the globe. The inferior oblique muscle insertion is located temporal (lateral) to the optic nerve on the sclera, and its fibers travel inferonasally from its insertion. The long posterior ciliary artery is often seen as a blue-gray line in the sclera on either side of the optic nerve and marks the horizontal meridian of the globe. Reprinted with permission from WB Saunders Company.

### C. Tumor Size

Tumor size has prognostic significance. Many studies of choroidal and ciliary body melanoma have defined small tumors as being less than 10 mm in greatest diameter.<sup>1</sup> More recently, an ongoing study started in 1986, the Collaborative Ocular Melanoma Study<sup>2,3</sup> defined the following size classification based on clinical measurements.

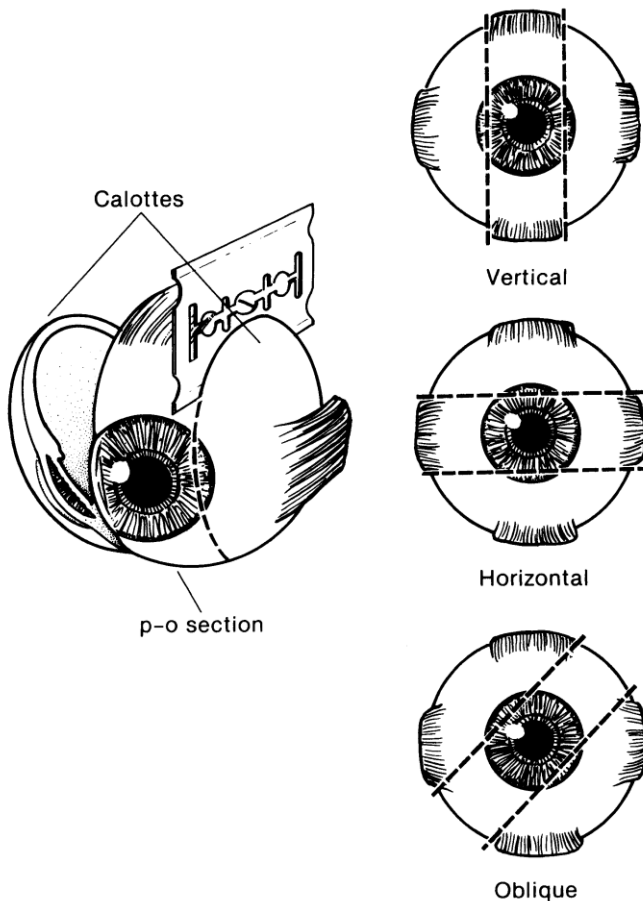
Small tumors <sup>#</sup> :	Smaller than medium or large tumors defined below
Medium tumors:	Greater than or equal to 2.5 mm, less than or equal to 10 mm in height, and less than or equal to 16 mm in basal diameter
Large tumors:	Greater than 10 mm in height <i>or</i> Greater than 2 mm in height and greater than 16 mm in basal diameter <i>or</i> Greater than 8 mm in height with optic nerve involvement

<sup>#</sup> Small tumors have a more favorable prognosis.<sup>4,5</sup>



#### D. Sectioning the Globe

The globe is generally sectioned in the horizontal or vertical plane, with care to include the pupil and optic nerve in the section to be submitted for microscopic examination. If the mass cannot be included with horizontal or vertical sectioning, the globe is sectioned obliquely to include the tumor, pupil, and optic nerve, as illustrated in Figure 2. Alternative methods of sectioning have been described.<sup>6</sup>



**Figure 2.** The most common methods of sectioning a globe. After transillumination, the tumor base is marked, if possible, and included in the pupil-optic (p-o) nerve section and submitted for processing. If tumor is found in either of the calottes, these may also be submitted for sectioning. The meridian in which the globe was sectioned should be included in the gross description of the pathology report. It is not uncommon to induce an artifactual retinal detachment while sectioning the globe. This can be minimized by gentle handling and by avoiding a sawing motion with the blade. Reprinted with permission from WB Saunders Company.

#### E. Histologic Type

The modified Callender classification shown below is used for determining cell type, but has prognostic significance only for tumors of the choroid and ciliary body, not those of the iris, which generally have a benign course.<sup>1,7-9</sup> The American Joint Committee on Cancer (AJCC) defined the histopathologic types as follows<sup>10, #</sup>

- Spindle cell melanoma (greater than 90% spindle cells)
- Mixed cell melanoma (>10% epithelioid cells and <90% spindle cells)
- Epithelioid cell melanoma (greater than 90% epithelioid cells)

# Spindle cell melanomas have the most favorable prognosis, and epithelioid cell melanomas the least favorable in terms of survival.

**F. TNM Stage Groupings**

The American Joint Committee on Cancer (AJCC) and the International Union Against Cancer (UICC) TNM staging systems for uveal melanoma of the iris, ciliary body, and choroid are shown below.<sup>10</sup>

By AJCC/UICC convention, the designation “T” refers to a primary tumor that has not been previously treated. The symbol “p” refers to the pathologic classification of the TNM, as opposed to the clinical classification, and is based on gross and microscopic examination. pT entails a resection of the primary tumor or biopsy adequate to evaluate the highest pT category, pN entails removal of nodes adequate to validate lymph node metastasis, and pM implies microscopic examination of distant lesions. Clinical classification (cTNM) is usually carried out by the referring physician before treatment during initial evaluation of the patient or when pathologic classification is not possible.

Pathologic staging is usually performed after surgical resection of the primary tumor. Pathologic staging depends on pathologic documentation of the anatomic extent of disease, whether or not the primary tumor has been completely removed. If a biopsied tumor is not resected for any reason (eg, when technically unfeasible) and if the highest T and N categories or the M1 category of the tumor can be confirmed microscopically, the criteria for pathologic classification and staging have been satisfied without total removal of the primary cancer.

**Primary Tumor**All Uveal Melanomas

TX	Primary tumor cannot be assessed
T0	No evidence of primary tumor

Iris<sup>#</sup>

T1	Tumor limited to the iris
T1a	Tumor limited to the iris not more than 3 clock hours in size
T1b	Tumor limited to the iris more than 3 clock hours in size
T1c	Tumor limited to the iris with secondary glaucoma
T2	Tumor confluent with or extending into the ciliary body, choroid, or both
T2a	Tumor confluent with or extending into the ciliary body, choroid, or both, with secondary glaucoma
T3	Tumor confluent with or extending into the ciliary body, choroid or both, with scleral extension
T3a	Tumor confluent with or extending into the ciliary body, choroid or both, with scleral extension and secondary glaucoma
T4	Tumor with extrascleral extension
T4a	Tumor with extrascleral extension less than or equal to 5 mm in diameter
T4b	Tumor with extrascleral extension more than 5 mm in diameter

<sup>#</sup> Iris melanomas originate from, and are predominantly located in, this region of the uvea. If less than half of the tumor volume is located within the iris, the tumor may have originated in the ciliary body, and consideration should be given to classifying it accordingly.

Ciliary Body and Choroid

Primary ciliary body and choroidal melanomas are classified according to the 4 tumor size categories below<sup>10</sup>:

Thickness (mm)	Largest basal diameter (mm)						
	≤ 3.0	3.1-6.0	6.1-9.0	9.1-12.0	12.1-15.0	15.1-18.0	>18
>15					4	4	4
12.1-15.0				3	3	4	4
9.1-12.0		3	3	3	3	3	4
6.1-9.0	2	2	2	2	3	3	4
3.1-6.0	1	1	1	2	2	3	4
≤ 3.0	1	1	1	1	2	2	4

- T1 Tumor size category 1
  - T1a Tumor size category 1 without ciliary body involvement and extraocular extension
  - T1b Tumor size category 1 with ciliary body involvement
  - T1c Tumor size category 1 without ciliary body involvement but with extraocular extension less than or equal to 5 mm in diameter
  - T1d Tumor size category 1 with ciliary body involvement and extraocular extension less than or equal to 5 mm in diameter
  
- T2 Tumor size category 2
  - T2a Tumor size category 2 without ciliary body involvement and extraocular extension
  - T2b Tumor size category 2 with ciliary body involvement
  - T2c Tumor size category 2 without ciliary body involvement but with extraocular extension less than or equal to 5 mm in diameter
  - T2d Tumor size category 2 with ciliary body involvement and extraocular extension less than or equal to 5 mm in diameter
  
- T3 Tumor size category 3
  - T3a Tumor size category 3 without ciliary body involvement and extraocular extension
  - T3b Tumor size category 3 with ciliary body involvement
  - T3c Tumor size category 3 without ciliary body involvement but with extraocular extension less than or equal to 5 mm in diameter
  - T3d Tumor size category 3 with ciliary body involvement and extraocular extension less than or equal to 5 mm in diameter
  
- T4 Tumor size category 4
  - T4a Tumor size category 4 without ciliary body involvement and extraocular extension
  - T4b Tumor size category 4 with ciliary body involvement
  - T4c Tumor size category 4 without ciliary body involvement but with extraocular extension less than or equal to 5 mm in diameter
  - T4d Tumor size category 4 with ciliary body involvement and extraocular extension less than or equal to 5 mm in diameter
  - T4e Any tumor size category with extraocular extension more than 5 mm in diameter

*Note: In clinical practice, the largest tumor basal diameter may be estimated in optic disc diameters (dd, average: 1 dd = 1.5 mm). Tumor thickness may be estimated in diopters (average: 2.5 diopters = 1 mm). However, techniques such as ultrasonography and fundus photography are used to provide more accurate measurements. Ciliary body involvement can be evaluated by the slit-lamp, ophthalmoscopy, gonioscopy, and transillumination. However, high-frequency ultrasonography (ultrasound biomicroscopy) is used for more accurate assessment. Extension through the sclera is evaluated visually before and during surgery, and with ultrasonography, computed tomography, or magnetic resonance imaging.*

When histopathologic measurements are recorded after fixation, tumor diameter and thickness may be underestimated because of tissue shrinkage.

### Regional Lymph Nodes (N)

NX Regional lymph nodes cannot be assessed  
 N0 No regional lymph node metastasis  
 N1 Regional lymph node metastasis

### Distant Metastasis (M)

M0 No distant metastasis  
 M1 Distant metastasis  
 M1a Largest diameter of the largest metastasis 3 cm or less  
 M1b Largest diameter of the largest metastasis 3.1-8.0 cm  
 M1c Largest diameter of the largest metastasis 8.1 cm or more

### Stage Grouping

Stage I	T1a	N0	M0
Stage IIA	T1b-d	N0	M0
	T2a	N0	M0
Stage IIB	T2b	N0	M0
	T3a	N0	M0
Stage IIIA	T2c-d	N0	M0
	T3b-c	N0	M0
	T4a	N0	M0
Stage IIIB	T3d	N0	M0
	T4b-c	N0	M0
Stage IIIC	T4d-e	N0	M0
Stage IV	Any T	N1	M0
	Any T	Any N	M1a-c

It should be noted that regional lymph node involvement is rare in uveal melanoma, but metastasis to the liver and direct extension into the orbit are more common.<sup>10</sup>

### TNM Descriptors

For identification of special cases of TNM or pTNM classifications, the “m” suffix and “y,” “r,” and “a” prefixes are used. Although they do not affect the stage grouping, they indicate cases needing separate analysis.

The “m” suffix indicates the presence of multiple primary tumors in a single site and is recorded in parentheses: pT(m)NM.

The “y” prefix indicates those cases in which classification is performed during or following initial multimodality therapy (ie, neoadjuvant chemotherapy, radiation therapy, or both chemotherapy and radiation therapy). The cTNM or pTNM category is identified by a “y” prefix. The ycTNM or ypTNM categorizes the extent of tumor actually present at the time of that examination. The “y” categorization is not an estimate of tumor prior to multimodality therapy (ie, before initiation of neoadjuvant therapy).

The “r” prefix indicates a recurrent tumor when staged after a documented disease-free interval, and is identified by the “r” prefix: rTNM.

The “a” prefix designates the stage determined at autopsy: aTNM.

### Additional Descriptors

#### Residual Tumor (R)

Tumor remaining in a patient after therapy with curative intent (eg, surgical resection for cure) is categorized by a system known as R classification, shown below.

RX	Presence of residual tumor cannot be assessed
R0	No residual tumor
R1	Microscopic residual tumor
R2	Macroscopic residual tumor

For the surgeon, the R classification may be useful to indicate the known or assumed status of the completeness of a surgical excision. For the pathologist, the R classification is relevant to the status of the margins of a surgical resection specimen. That is, tumor involving the resection margin on pathologic examination may be assumed to correspond to residual tumor in the patient and may be classified as macroscopic or microscopic according to the findings at the specimen margin(s).

#### Lymph-Vascular Invasion (LVI)

LVI indicates whether microscopic lymph-vascular invasion is identified in the pathology report. LVI includes lymphatic invasion, vascular invasion, or lymph-vascular invasion. By AJCC/UICC convention, LVI does not affect the T category indicating local extent of tumor unless specifically included in the definition of a T category.

#### **G. Other Pathologic Features of Prognostic Significance**

Other histologic features with prognostic significance in choroidal and ciliary body melanoma include the number of mitoses in 40 high-powered fields, pigmentation, degree of inflammation, growth pattern (diffuse choroidal melanomas and ring melanomas of the ciliary body have a much less favorable prognosis), location of anterior margin of tumor, degree and patterns of vascularity, blood vessel invasion (both tumor vessels and normal vessels), tumor necrosis, extraocular extension, and optic nerve involvement.<sup>1,11-20</sup>

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