

# Protocol for the Examination of Specimens From Patients With Thymoma and Thymic Carcinoma

Protocol applies to thymic epithelial tumors located in any area of the mediastinum.

### No AJCC/UICC TNM Staging System

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### **Procedure**

• Resection

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### CAP Thymoma, Thymic Carcinoma Protocol Revision History

### Version Code

The definition of the version code can be found at www.cap.org/cancerprotocols.

Version: Thymus 3.1.0.0

### **Summary of Changes**

The following changes have been made since the October 2009 release.

### **Resection**

Regional Lymph Nodes Specify: Number examined / Number involved, has been changed to:
No nodes submitted or found
Number of Lymph Nodes Examined Specify: Number cannot be determined (explain):
Number of Lymph Nodes Involved  Specify:  Number cannot be determined (explain):

### Surgical Pathology Cancer Case Summary

Protocol web posting date: February 1, 2011 **THYMUS: Resection** Select a single response unless otherwise indicated. Specimen \_\_\_ Thymus \_\_\_ Thymus and other (specify): \_\_\_\_\_ \_\_\_ Not specified **Procedure** \_\_\_ Thymectomy \_\_\_ Partial thymectomy \_\_\_ Other (specify): \_\_ \_\_\_ Not specified Specimen Integrity \_\_\_ Intact \_\_\_ Disrupted \_\_\_ Indeterminate Specimen Weight Specify: \_\_\_ grams **Tumor Size** Greatest dimension: cm + Additional dimensions: \_\_\_ x \_\_\_ cm \_\_\_ Cannot be determined (see Comment) Histologic Type (Note A) Thymoma, specify: \_\_\_ Type A thymoma \_\_\_ Type AB thymoma \_\_\_ Type B1 thymoma \_\_\_ Type B2 thymoma \_\_\_ Type B3 thymoma \_\_\_ Other (specify): \_\_\_\_\_

<sup>+</sup> Data elements preceded by this symbol are not required. However, these elements may be clinically important but are not yet validated or regularly used in patient management.

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Thymic carcinoma, specify:  Squamous cell carcinoma  Basaloid carcinoma  Mucoepidermoid carcinoma  Lymphoepithelioma-like carcinoma  Sarcomatoid carcinoma  Clear cell carcinoma  Adenocarcinoma  Well-differentiated neuroendocrine carcinoma, typical carcinoid  Well-differentiated neuroendocrine carcinoma, atypical carcinoid  Poorly differentiated neuroendocrine carcinoma, large cell neuroendocrine carcinoma
Poorly differentiated neuroendocrine carcinoma, small cell carcinoma, neuroendocrine type  Other (specify):
Other (specify):
Tumor Extension (select all that apply)  Not applicable Not identified Cannot be assessed Pulmonary parenchyma + Specify lobe(s) of lung: Pleura + Specify location: Pericardium Diaphragm Other (specify):
Margins (Note B)  Cannot be assessed Margins uninvolved by tumor Distance of tumor from closest margin: mm Margin(s) involved by tumor Specify margin(s):
Treatment Effect Not applicable Cannot be determined Not identified Present (specify:% residual viable tumor)
Lymph-Vascular Invasion  Not identified Present Indeterminate

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Regional Lymph Nodes  Cannot be assessed No regional lymph node metastasis Regional lymph node metastasis
No nodes submitted or found
Number of Lymph Nodes Examined Specify:
Number cannot be determined (explain):
Number of Lymph Nodes Involved Specify:
Number cannot be determined (explain):
Pathologic Staging for Thymomas (Modified Masaoka Stage) (applies <u>only</u> to thymomas) (Note C)
Stage I: Grossly and microscopically encapsulated (includes microscopic invasion into, but not through, the capsule)
Stage IIa: Microscopic transcapsular invasion
Stage IIb: Macroscopic capsular invasion Stage III: Macroscopic invasion of neighboring organs
Stage IVa: Pleural or pericardial dissemination
<ul><li>Stage IVb: Hematogenous or lymphatic dissemination</li><li>Cannot be determined</li></ul>
Implants/Distant Metastasis (select all that apply) (Note D)  Cannot be assessed  Not identified  Present  Specify site(s):  Pleura  Pericardium  Other (specify)
Pathologic Staging for Thymic Carcinomas (pTNM) (does $\underline{not}$ apply to thymomas) (Note C)
<u>TNM Descriptors</u> (required only if applicable) (select all that apply) m (multiple primary tumors)
r (recurrent)
y (posttreatment)
Primary Tumor (pT)
pTX: Primary tumor cannot be assessed pT0: No evidence of primary tumor
pT1: Tumor completely encapsulated
<ul> <li>pT2: Tumor invades pericapsular connective tissue</li> <li>pT3: Tumor invades neighboring structures, such as pericardium, mediastinal pleura, thoracic wall</li> </ul>
great vessels, and lung
pT4: Tumor with pleural or pericardial dissemination

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+ Comment(s)

Regional Lymph Nodes (pN)
pNX: Regional lymph nodes cannot be assessed
pN0: No regional lymph node metastases
pN1: Metastasis in anterior mediastinal lymph nodes
pN2: Metastasis in other intrathoracic lymph nodes, excluding anterior mediastinal lymph nodes
pN3: Metastasis in scalene and/or supraclavicular lymph nodes
Distant Metastasis (pM)
Not applicable
pM1: Distant metastasis
+ Specify site(s), if known:
+ Additional Pathologic Findings (select all that apply)
+ Age-appropriate involution changes
+ Fibrosis
+ Cortical hyperplasia
+ Cystic changes in tumor
+ Cystic changes in adjacent thymus
+ Other (specify):
+ Ancillary Studies (Note E)
+ Immunohistochemical staining
+ Specify results:

<sup>+</sup> Data elements preceded by this symbol are not required. However, these elements may be clinically important but are not yet validated or regularly used in patient management.

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### **Explanatory Notes**

### A. Histologic Type

For consistency in reporting, the histologic classification published by the World Health Organization (WHO) for tumors of the thymus is recommended. The histologic types are listed in this protocol in the order they appear in the WHO classification. Difficulties in diagnostic reproducibility have been encountered with the WHO classification scheme and this protocol does not preclude the use of other systems of classification of histologic types. <sup>2,3</sup>

Type A, AB, and B thymomas show thymic architectural features.<sup>1</sup> Thymic carcinomas are a heterogeneous group of malignant epithelial tumors with diverse morphology showing morphologies that resemble carcinomas encountered outside the thymus (designated type C thymomas in the previous WHO classification).<sup>1</sup> Because thymic carcinoids have the capacity to recur and metastasize, they are classified as neuroendocrine carcinomas.<sup>1,4</sup>

### B. Margins

Thymectomy involves dissection and mobilization of the thymus from the pericardium and mediastinal pleura. In most thymectomy specimens, the posterior surface constitutes a true margin. Unless it has been marked by the surgeon, the posterior surface of thymectomy specimens is difficult to locate. If the completeness of excision is in question, the orientation of the specimen should be confirmed by the surgeon before grossing and all surgical margins inked. In addition to thymus, some specimens also include attached neighboring structures (eg, pleura, pericardium, lung). The margins of any attached structures should be properly identified by the surgeon and inked to facilitate accurate histologic assessment of margin status. In addition to tumor stage and histologic type, completeness of resection is an important prognostic parameter.<sup>1</sup>

### C. Pathologic Staging of Thymic Epithelial Neoplasms

No TNM protocol has been officially authorized by the American Joint Committee on Cancer (AJCC) or the International Union Against Cancer (UICC) for the staging of thymic epithelial neoplasms. The scheme developed by Masaoka for thymoma and revised by others is frequently used for staging.<sup>5-8</sup> A tentative classification for thymic carcinoma and other malignant thymic epithelial tumors appeared in the UICC TNM Supplement.<sup>9</sup>

The modified Masaoka staging scheme requires assessment of capsular invasion and invasion of adjacent structures. Encapsulated thymomas are completely surrounded by a fibrous capsule of variable thickness. Tumors that invade into, but not through, the capsule should still be considered encapsulated. Minimally invasive tumors are those that focally invade through the capsule (ie, transcapsular invasion) into the mediastinal fat, whereas widely invasive tumors directly extend into adjacent structures such as the lung or pericardium.<sup>1</sup>

Assessment of capsular invasion is sometimes difficult, because a capsule may be either partially or entirely lacking in some thymomas and in a substantial proportion of thymic carcinomas. Areas of adherence to other mediastinal structures may be the only indication of capsular penetration by tumor and hence the only indicator of aggressive behavior. However, adherence to adjacent structures does not necessarily indicate invasion. Such areas should be carefully sampled. Uncertainties regarding the nature and degree of capsular adherence should be discussed with the surgeon. Any areas of macroscopic adherence or foci otherwise deemed suggestive of invasion should be sampled and evaluated histologically.

### D. Implants and Distant Metastases

Thymomas sometimes exhibit tumor nodules separate from the main mass on the pericardial or pleural surface that have been referred to as implants by the WHO.<sup>1</sup>

The WHO designates distant metastases as metastases to distant sites, most commonly the lung, liver, and skeletal system. From a practical standpoint, there are no reliable morphologic criteria for determining whether dissemination to the pericardium and/or pleura represents implants or metastatic disease. For this reason, these items are incorporated into a single heading in this protocol.

It is important to note that metastases to lymph nodes or local extension into adjacent organs are not included under the heading of distant metastases, but instead are reflected in the pN category and under the tumor extension section, respectively.<sup>1</sup>

### E. Ancillary Studies

Ancillary studies, such as immunohistochemistry, are often employed in the diagnosis of thymic epithelial neoplasms. The types of ancillary studies utilized vary with the histologic appearance of the tumor. Immunostaining for cytokeratins is helpful in distinguishing between thymomas and lymphoid lesions. In selected cases, the use of immunohistochemistry for CD1a and terminal deoxynucleotidyl transferase (TdT) may be helpful in defining the cortical thymocyte phenotype of thymoma, as distinguished from the typical peripheral T-cell phenotype of tumor-infiltrating lymphocytes associated with other tumors. CD5 reactivity can be somewhat helpful in separating thymic carcinoma from thymoma and other tumors that have a tendency to involve the mediastinum, but it should be noted that some B3 thymomas express CD5. $^{10-12}$  Immunostains for human chorionic gonadotropin (HCG), placental alkaline phosphatase (PLAP), carcinoembryonic antigen (CEA), and  $\alpha$ -fetoprotein are helpful in differentiating among thymic carcinomas and mediastinal germ cell tumors.

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