

Protocol for the Examination of Specimens From Patients With Carcinoma of the Stomach

Version: Stomach 4.0.0.0

Protocol Posting Date: June 2017

Includes pTNM requirements from the 8th Edition, AJCC Staging Manual

For accreditation purposes, this protocol should be used for the following procedures AND tumor types:

Procedure	Description
Resection	Includes partial or complete gastrectomy
Tumor Type	Description
Carcinomas	Includes carcinomas involving the esophagogastric junction (EGJ) with tumor midpoint >2 cm into the proximal stomach and carcinomas of the cardia/proximal stomach without involvement of the EGJ even if tumor midpoint is ≤2 cm into the proximal stomach

This protocol is NOT required for accreditation purposes for the following:

Procedure
Excisional biopsy (includes endoscopic resection and polypectomy)
Primary resection specimen with no residual cancer (eg, following neoadjuvant therapy)
Recurrent tumor
Cytologic specimens

The following tumor types should NOT be reported using this protocol:

Tumor Type
Carcinoma involving the EGJ with center ≤2 cm into the proximal stomach (consider the Esophagus protocol)
Well-differentiated neuroendocrine tumor (consider the Stomach NET protocol)
Lymphoma (consider the Hodgkin or non-Hodgkin Lymphoma protocols)
Gastrointestinal stromal tumor (GIST) (consider the GIST protocol)
Non-GIST sarcoma (consider the Soft Tissue protocol)

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With guidance from the CAP Cancer and CAP Pathology Electronic Reporting Committees.

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Accreditation Requirements

This protocol can be utilized for a variety of procedures and tumor types for clinical care purposes. For accreditation purposes, only the definitive primary cancer resection specimen is required to have the core and conditional data elements reported in a synoptic format.

- Core data elements are required in reports to adequately describe appropriate malignancies. For accreditation purposes, essential data elements must be reported in all instances, even if the response is “not applicable” or “cannot be determined.”
- Conditional data elements are only required to be reported if applicable as delineated in the protocol. For instance, the total number of lymph nodes examined must be reported, but only if nodes are present in the specimen.
- Optional data elements are identified with “+” and although not required for CAP accreditation purposes, may be considered for reporting as determined by local practice standards.

The use of this protocol is not required for recurrent tumors or for metastatic tumors that are resected at a different time than the primary tumor. Use of this protocol is also not required for pathology reviews performed at a second institution (ie, secondary consultation, second opinion, or review of outside case at second institution).

Endoscopic resection is NOT considered to be the definitive resection specimen, even though the entire cancer may be removed. A protocol is recommended for reporting such specimens for clinical care purposes, but this is not required for accreditation purposes.

Synoptic Reporting

All core and conditionally required data elements outlined on the surgical case summary from this cancer protocol must be displayed in synoptic report format. Synoptic format is defined as:

- Data element: followed by its answer (response), outline format without the paired "Data element: Response" format is NOT considered synoptic.
- The data element must be represented in the report as it is listed in the case summary. The response for any data element may be modified from those listed in the case summary, including “Cannot be determined” if appropriate.
- Each diagnostic parameter pair (Data element: Response) is listed on a separate line or in a tabular format to achieve visual separation. The following exceptions are allowed to be listed on one line:
 - Anatomic site or specimen, laterality, and procedure
 - Pathologic Stage Classification (pTNM) elements
 - Negative margins, as long as all negative margins are specifically enumerated where applicable
- The synoptic portion of the report can appear in the diagnosis section of the pathology report, at the end of the report or in a separate section, but all Data element: Responses must be listed together in one location

Organizations and pathologists may choose to list the required elements in any order, use additional methods in order to enhance or achieve visual separation, or add optional items within the synoptic report. The report may have required elements in a summary format elsewhere in the report IN ADDITION TO but not as replacement for the synoptic report i.e. all required elements must be in the synoptic portion of the report in the format defined above.

CAP Laboratory Accreditation Program Protocol Required Use Date: March 2018*

* Beginning January 1, 2018, the 8th edition AJCC Staging Manual should be used for reporting pTNM.

CAP Stomach Protocol Summary of Changes

The following data elements were modified:

Pathologic Stage Classification (pTNM, AJCC 8th Edition)

Tumor Site

Histologic Type

Microscopic Tumor Extension

Treatment Effect

Surgical Pathology Cancer Case Summary

Protocol posting date: June 2017

STOMACH:**Select a single response unless otherwise indicated.****Procedure (Note A)**

- ☐ Endoscopic resection
☐ Partial gastrectomy, proximal
☐ Partial gastrectomy, distal
☐ Partial gastrectomy, other (specify): _____
☐ Total gastrectomy
☐ Other (specify): _____
☐ Not specified

Tumor Site (select all that apply) (Note B)

- ☐ Cardia
☐ Fundus
 + ☐ Anterior wall
 + ☐ Posterior wall
☐ Body
 + ☐ Anterior wall
 + ☐ Posterior wall
 + ☐ Lesser curvature
 + ☐ Greater curvature
☐ Antrum
 + ☐ Anterior wall
 + ☐ Posterior wall
 + ☐ Lesser curvature
 + ☐ Greater curvature
☐ Pylorus
☐ Other (specify): _____
☐ Not specified

Note: Use the esophageal cancer protocol if the tumor involves the EGJ and the tumor midpoint is 2 cm or less into the proximal stomach.

Tumor Size

- Greatest dimension (centimeters): ____ cm
 + Additional dimensions (centimeters): ____ x ____ cm
☐ Cannot be determined (explain): _____

Histologic Type (Note C)

- ☐ Adenocarcinoma
 Lauren classification of adenocarcinoma:
 ☐ Intestinal type
 ☐ Diffuse type (includes signet-ring carcinoma, classified as >50% signet-ring cells)
 ☐ Mixed (approximately equal amounts of intestinal and diffuse)
 + Alternative optional classification (based on WHO classification):
 + ☐ Tubular (intestinal) adenocarcinoma
 + ☐ Poorly cohesive carcinoma (including signet-ring cell carcinoma and other variants)
 + ☐ Mucinous adenocarcinoma (>50% mucinous)
 + ☐ Papillary adenocarcinoma

+ ____ Mixed carcinoma (mixture of discrete glandular (tubular/papillary) and signet-ring/poorly cohesive cellular histological components)

- ____ Hepatoid adenocarcinoma
- ____ Carcinoma with lymphoid stroma (medullary carcinoma)
- ____ Large cell neuroendocrine carcinoma
- ____ Small cell neuroendocrine carcinoma
- ____ Neuroendocrine carcinoma (poorly differentiated)[#]
- ____ Mixed adenoneuroendocrine carcinoma
- ____ Squamous cell carcinoma
- ____ Adenosquamous carcinoma
- ____ Undifferentiated carcinoma
- ____ Other histologic type not listed (specify): _____

[#] Note: Select this option only if large cell or small cell cannot be determined.

Histologic Grade (Note D)

- ____ G1: Well differentiated
- ____ G2: Moderately differentiated
- ____ G3: Poorly differentiated, undifferentiated
- ____ Other (specify): _____
- ____ GX: Cannot be assessed
- ____ Not applicable

Tumor Extension

- ____ No evidence of primary tumor
- ____ Carcinoma in situ: intraepithelial tumor without invasion of the lamina propria, high-grade dysplasia
- ____ Tumor invades the lamina propria
- ____ Tumor invades the muscularis mucosae
- ____ Tumor invades the submucosa
- ____ Tumor invades the muscularis propria
- ____ Tumor penetrates the subserosal connective tissue without invasion of the visceral peritoneum or adjacent structures
- ____ Tumor invades the serosa (visceral peritoneum)
- ____ Tumor invades adjacent structures/organs[#] (specify) _____
- ____ Cannot be assessed

[#] The adjacent structures of the stomach include the spleen, transverse colon, liver, diaphragm, pancreas, abdominal wall, adrenal gland, kidney, small intestine, and retroperitoneum. Intramural extension to the duodenum or esophagus is not considered invasion of an adjacent structure, but is classified using the depth of the greatest invasion in any of these sites.

Margins (Note E)

Note: Use this section only if all margins are uninvolved and all margins can be assessed.

- ____ All margins are uninvolved by invasive carcinoma and dysplasia
- ____ Margins examined: _____
- ____ Note: Margins may include proximal, distal, omental (radial), mucosal, deep, and others.
- ____ + Distance of invasive carcinoma from closest margin (millimeters or centimeters): ____ mm or ____ cm
- ____ + Specify closest margin: _____

Individual margin reporting required if any margins are involved or margin involvement cannot be assessed

For gastrectomy specimens onlyProximal Margin

- ☐ Cannot be assessed
- ☐ Involved by invasive carcinoma
- ☐ Uninvolved by invasive carcinoma
 - ☐ Uninvolved by dysplasia
 - ☐ Involved by carcinoma in situ (high-grade dysplasia)
 - ☐ Involved by low-grade dysplasia

Distal Margin

- ☐ Cannot be assessed
- ☐ Involved by invasive carcinoma
- ☐ Uninvolved by invasive carcinoma
 - ☐ Uninvolved by dysplasia
 - ☐ Involved by carcinoma in situ (high-grade dysplasia)
 - ☐ Involved by low-grade dysplasia

Omental (Radial) Margins

- ☐ Cannot be assessed
- ☐ Uninvolved by invasive carcinoma
- ☐ Involved by invasive carcinoma
 - + ☐ Greater omental margin involved by invasive carcinoma
 - + ☐ Lesser omental margin involved by invasive carcinoma

Other Margin(s) (required only if applicable)

Specify margin(s): _____

- ☐ Cannot be assessed
- ☐ Involved by invasive carcinoma
- ☐ Uninvolved by invasive carcinoma

For endoscopic resection specimens onlyMucosal Margin

- ☐ Cannot be assessed
- ☐ Involved by invasive carcinoma
- ☐ Uninvolved by invasive carcinoma
 - ☐ Uninvolved by dysplasia
 - ☐ Involved by carcinoma in situ (high-grade dysplasia)
 - ☐ Involved by low-grade dysplasia

Deep Margin

- ☐ Cannot be assessed
- ☐ Uninvolved by invasive carcinoma
- ☐ Involved by invasive carcinoma

Other Margin(s) (required only if applicable)

Specify margin(s): _____

- ☐ Cannot be assessed
- ☐ Involved by invasive carcinoma
- ☐ Uninvolved by invasive carcinoma

Treatment Effect (Note F)

- ☐ No known presurgical therapy
- ☐ Present
 - + ☐ No viable cancer cells (complete response, score 0)
 - + ☐ Single cells or rare small groups of cancer cells (near complete response, score 1)

- + ___ Residual cancer with evident tumor regression, but more than single cells or rare small groups of cancer cells (partial response, score 2)
- ___ Absent
- + ___ Extensive residual cancer with no evident tumor regression (poor or no response, score 3)
- ___ Cannot be determined

Lymphovascular Invasion (Note G)

- ___ Not identified
- ___ Present
- ___ Cannot be determined

+ Perineural Invasion (Note H)

- + ___ Not identified
- + ___ Present
- + ___ Cannot be determined

Regional Lymph Nodes (Note I)

- ___ No lymph nodes submitted or found

Lymph Node Examination (required only if lymph nodes present in specimen)

- Number of Lymph Nodes Involved: ___
- ___ Number cannot be determined (explain): _____

- Number of Lymph Nodes Examined: ___
- ___ Number cannot be determined (explain): _____

Pathologic Stage Classification (pTNM, AJCC 8th Edition) (Note J)

Note: Reporting of pT, pN, and (when applicable) pM categories is based on information available to the pathologist at the time the report is issued. Only the applicable T, N, or M category is required for reporting; their definitions need not be included in the report. The categories (with modifiers when applicable) can be listed on 1 line or more than 1 line.

TNM Descriptors (required only if applicable) (select all that apply)

- ___ m (multiple primary tumors)
- ___ r (recurrent)
- ___ y (posttreatment)

Primary Tumor (pT)

- ___ pTX: Primary tumor cannot be assessed
- ___ pT0: No evidence of primary tumor
- ___ pTis: Carcinoma *in situ*: intraepithelial tumor without invasion of the lamina propria, high-grade dysplasia
- ___ pT1: Tumor invades the lamina propria, muscularis mucosae, or submucosa
- ___ pT1a: Tumor invades the lamina propria or muscularis mucosae
- ___ pT1b: Tumor invades the submucosa
- ___ pT2: Tumor invades the muscularis propria[#]
- ___ pT3: Tumor penetrates the subserosal connective tissue without invasion of the visceral peritoneum or adjacent structures^{##, ###}
- ___ pT4: Tumor invades the serosa (visceral peritoneum) or adjacent structures^{##, ###}
- ___ pT4a: Tumor invades the serosa (visceral peritoneum)
- ___ pT4b: Tumor invades adjacent structures/organs

[#] A tumor may penetrate the muscularis propria with extension into the gastrocolic or gastrohepatic ligaments, or into the greater or lesser omentum, without perforation of the visceral peritoneum covering these structures. In this case, the tumor is classified as T3. If there is perforation of the visceral peritoneum covering the gastric ligaments or the omentum, the tumor should be classified as T4.

The adjacent structures of the stomach include the spleen, transverse colon, liver, diaphragm, pancreas, abdominal wall, adrenal gland, kidney, small intestine, and retroperitoneum.

Intramural extension to the duodenum or esophagus is not considered invasion of an adjacent structure, but is classified using the depth of the greatest invasion in any of these sites.

Regional Lymph Nodes (pN)[#]

- ☐ pNX: Regional lymph node(s) cannot be assessed
- ☐ pN0: No regional lymph node metastasis
- ☐ pN1: Metastasis in one or two regional lymph nodes
- ☐ pN2: Metastasis in three to six regional lymph nodes
- ☐ pN3: Metastasis in seven or more regional lymph nodes
- ☐ pN3a: Metastasis in seven to 15 regional lymph nodes
- ☐ pN3b: Metastasis in 16 or more regional lymph nodes

[#] Note: Metastatic tumor deposits in the subserosal fat adjacent to a gastric carcinoma, without evidence of residual lymph node tissue, are considered regional lymph node metastases for purposes of gastric cancer staging.

Distant Metastasis (pM) (required only if confirmed pathologically in this case)

- ☐ pM1: Distant metastasis
Specify site(s), if known: _____

+ Additional Pathologic Findings (select all that apply) (Note K)

- + ☐ None identified
- + ☐ Intestinal metaplasia
- + ☐ Low-grade dysplasia
- + ☐ High-grade dysplasia
- + ☐ *Helicobacter pylori*-type gastritis
- + ☐ Autoimmune atrophic chronic gastritis
- + ☐ Polyp(s) (type[s]): _____
- + ☐ Other (specify): _____

+ Ancillary Studies

Note: For HER2 reporting, the CAP Gastric HER2 template should be used. Pending biomarker studies should be listed in the Comments section of this report.

+ Comment(s)

Explanatory Notes

A. Application

This protocol applies to all carcinomas that arise in the stomach, including:

- 1) Carcinomas involving the esophagogastric junction (EGJ) with tumor midpoint >2 cm into the proximal stomach
- 2) Carcinomas of the cardia/proximal stomach without involvement of the EGJ even if tumor midpoint is ≤ 2 cm into the proximal stomach

This protocol DOES NOT apply to:

- 1) Carcinomas involves the EGJ with tumor midpoint ≤ 2 into the proximal stomach (use CAP protocol for esophageal cancer)
- 2) Well-differentiated neuroendocrine tumors (use CAP protocol for neuroendocrine tumors of the stomach)
- 3) Lymphomas, gastrointestinal stromal tumors, and sarcomas.

B. Tumor Site

Tumor location should be described in relation to the following landmarks (Figure 1):

- gastric region: cardia, fundus, body, antrum, pylorus
- greater curvature, lesser curvature
- anterior wall, posterior wall

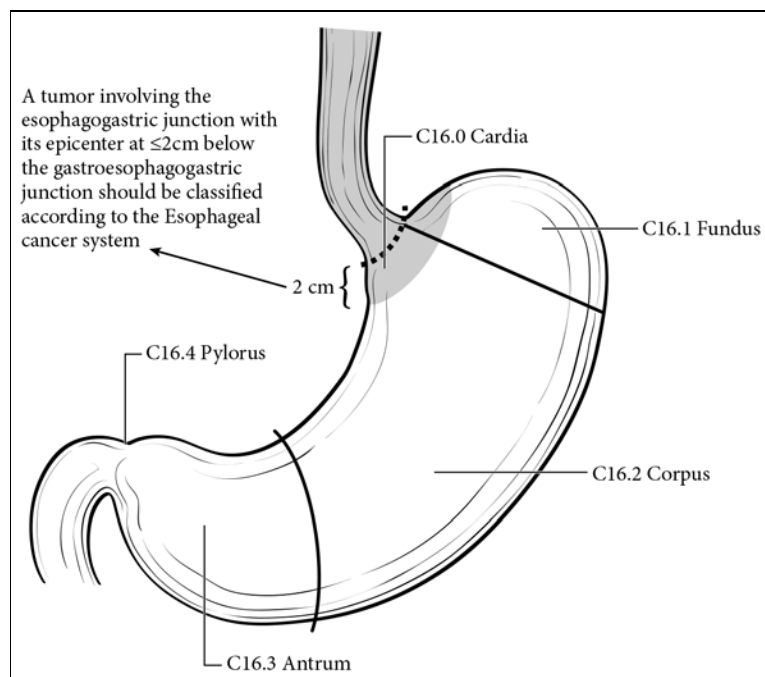


Figure 1. Anatomical subsites of the stomach. Used with permission of the American Joint Committee on Cancer (AJCC), Chicago, Illinois. The original and primary source for this information is the *AJCC Cancer Staging Manual*, Eighth Edition (2017) published by Springer Science+Business Media.

Tumors involving the EGJ with epicenter ≤ 2 cm into the proximal stomach are classified for purposes of staging as esophageal carcinomas,¹ and the CAP protocol for the esophagus should be used for such tumors. Tumors involving the EGJ with epicenter >2 cm into the proximal stomach and any tumors in the stomach, including cardia cancers, without involvement of the EGJ should use the CAP protocol for the stomach.

The proximal stomach located immediately below the diaphragm is cardia. The remaining portions are the fundus and the body. The distal portion of the stomach is the antrum. The pylorus is composed of muscular ring and a connection between the antrum and the first portion of the duodenum. The medial curvature of the stomach is the

lesser curvature, whereas the lateral curvature is the greater curvature. The EGJ is defined as the junction of the tubular esophagus and the stomach irrespective of the type of epithelial lining of the esophagus.

C. Histologic Type

For consistency in reporting, the recently revised histologic classification proposed by the WHO is recommended² (Table 1) but not required for clinical use. However, this classification scheme does not distinguish between intestinal and diffuse types of gastric carcinoma but includes signet-ring cell carcinoma in the poorly cohesive carcinoma category. Thus, the Laurén classification⁴ may be used in conjunction with the WHO system.

With the exception of the rare small cell carcinoma of the stomach, which has an unfavorable prognosis, most multivariate analyses show no effect of tumor type, independent of stage, on prognosis.³

Table 1. WHO Classification of Carcinoma of the Stomach²

Tumor Type	Histologic Features
Adenocarcinoma	
Papillary adenocarcinoma	Exophytic with elongated frond-like tumor extensions with fibrovascular cores; usually low grade.
Tubular adenocarcinoma	Dilated or slit-like branching tubules; usually low grade, although poorly differentiated variants are described.
Mucinous adenocarcinoma	Contains more than 50% extracellular mucin pools. May contain scattered signet-ring cells.
Poorly cohesive carcinomas, including signet-ring cell carcinoma and other variants	Tumor cells infiltrate as isolated single cells or small aggregates. Signet ring cell carcinoma is predominantly composed of signet-ring cells containing a clear droplet of cytoplasmic mucin displacing the nucleus. Other variants of poorly cohesive carcinoma may resemble mononuclear inflammatory cells.
Mixed carcinoma	Mixture of morphologically identifiable components such as tubular, papillary, and poorly cohesive patterns.
Adenosquamous carcinoma	Mixture of glandular and squamous neoplastic components; the squamous component should comprise at least 25% of tumor volume
Carcinoma with lymphoid stroma (medullary carcinoma)	Poorly developed glandular structures associated with a prominent lymphoid infiltrate in the stroma. Associated with Epstein-Barr virus infection and may have a more favorable prognosis.
Hepatoid adenocarcinoma	Large polygonal eosinophilic tumor cells resembling hepatocytes; may express alpha-fetoprotein.
Squamous cell carcinoma	Keratinizing and nonkeratinizing forms are encountered.
Undifferentiated carcinoma	High-grade carcinoma that cannot be further classified as adenocarcinoma, squamous cell carcinoma, or other recognized variants
Neuroendocrine carcinoma	Poorly differentiated high-grade carcinoma with diffuse synaptophysin expression and faint or focal positivity for chromogranin A. These tumors exhibit a high mitotic rate (>20 per 10 high power fields, or Ki-67 index >20%), marked nuclear atypia, and may have focal necrosis
Large cell neuroendocrine carcinoma	Tumor cells are large, with moderate amount of cytoplasm, and may contain prominent nucleoli.
Small cell neuroendocrine carcinoma	Tumor cells are small, with finely granular chromatin and indistinct nucleoli.
Mixed adenoneuroendocrine carcinoma	Composed of both gland-forming and neuroendocrine malignant elements, with at least 30% of each component. Identification of scattered neuroendocrine cells in adenocarcinomas by immunohistochemistry does not qualify as mixed carcinoma.

For well-differentiated neuroendocrine tumors, the CAP protocol for neuroendocrine tumors (carcinoid tumors) of the stomach applies.

The Laurén classification, namely intestinal, diffuse, or mixed type, and/or the Ming classification, namely expanding or infiltrating type, may also be included. In general, significant correlation is seen between the various classification systems.⁵

The WHO classifies premalignant lesions of the gastrointestinal tract as intraepithelial neoplasia. For purposes of data reporting, high-grade dysplasia in a gastric resection specimen is reported as “carcinoma in situ.” The term “carcinoma in situ” is not widely applied to glandular neoplastic lesions in the gastrointestinal tract but is retained for tumor registry reporting purposes as specified by law in many states.

D. Histologic Grade

G	G Definition
GX	Grade cannot be assessed
G1	Well differentiated
G2	Moderately differentiated
G3	Poorly differentiated, undifferentiated

For adenocarcinomas, a histologic grading system that is based on the extent of glandular differentiation is suggested, as shown below.

Grade X	Cannot be assessed
Grade 1	Well differentiated (greater than 95% of tumor composed of glands)
Grade 2	Moderately differentiated (50% to 95% of tumor composed of glands)
Grade 3	Poorly differentiated (49% or less of tumor composed of glands)

Signet-ring cell carcinomas are high grade and are classified as grade 3.

In the AJCC 8th edition, undifferentiated carcinoma is grouped together with poorly differentiated carcinoma as grade 3. Small cell neuroendocrine carcinomas, which were classified as grade 4, are now considered as grade 3.

Although grade has been shown to have little impact on survival for patients undergoing complete tumor resection,⁶ it has a significant impact on margin-negative resectability, with higher grade tumors less likely to be resectable.

E. Margins

For surgical resection specimens, margins include the proximal, distal, and radial margins. The radial margins represent the nonperitonealized soft tissue margins closest to the deepest penetration of tumor. In the stomach, the lesser omental (hepatoduodenal and hepatogastric ligaments) and greater omental resection margins are the only radial margins. For endoscopic resection specimens, margins include peripheral mucosal margins and the deep margin of resection. It may be helpful to mark the margin(s) closest to the tumor with ink. Margins marked by ink should be designated in the macroscopic description.

F. Treatment Effect

Response of tumor to previous chemotherapy or radiation therapy should be reported. Although grading systems for tumor response have not been established, in general, 3-category systems provide good interobserver reproducibility.⁷ The following system is suggested:

Description	Tumor Regression Score
No viable cancer cells (complete response)	0
Single cells or rare small groups of cancer cells (near complete response)	1

Residual cancer with evident tumor regression, but more than single cells or rare small groups of cancer cells (partial response)	2
Extensive residual cancer with no evident tumor regression (poor or no response)	3

Sizable pools of acellular mucin may be present after chemoradiation but should not be interpreted as representing residual tumor.

This protocol does not preclude the use of other systems for assessment of tumor response, such as the schemes reported by Memorial Sloan-Kettering Cancer Center investigators and others.^{8,9}

G. Lymphovascular invasion

Both venous¹⁰ and lymphatic vessel³ invasion have been shown to be adverse prognostic factors⁸ and are predictive of lymph node metastases in early gastric cancers.¹¹ However, the microscopic presence of tumor in lymphatic vessels or veins does not qualify as local extension of tumor as defined by the T classification (also see Note I).¹

H. Perineural Invasion

Perineural invasion has been shown to be an adverse prognostic factor⁸ and has been associated with lymph node metastases in early gastric cancer in univariate but not multivariate analyses.¹¹

I. Regional Lymph Nodes

The specific regional nodal areas of the stomach (Figure 2) are listed below.¹

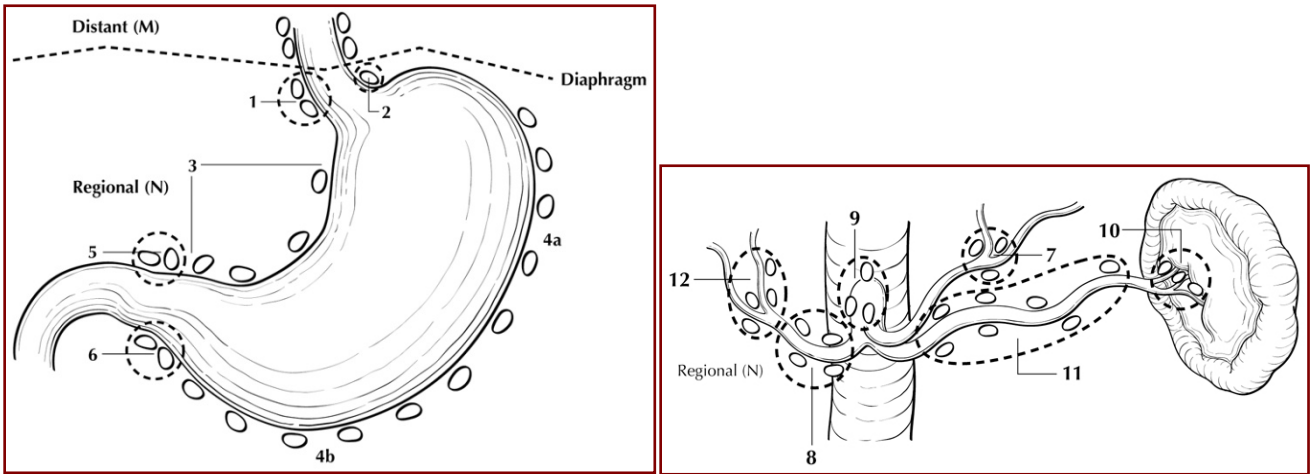


Figure 2. Regional lymph nodes of the stomach. Used with permission of the American Joint Committee on Cancer (AJCC), Chicago, IL. The original source for this material is the *AJCC Cancer Staging Atlas* (2006) edited by Greene et al¹⁴ and published by Springer Science and Business Media, LLC, www.springerlink.com.

- Perigastric along the greater curvature (including greater curvature, greater omental)
- Perigastric along the lesser curvature (including lesser curvature, lesser omental)
- Right and left paracardial (cardioesophageal)
- Suprapyloric (including gastroduodenal)
- Infrapyloric (including gastroepiploic)
- Left gastric artery
- Celiac artery
- Common hepatic artery
- Hepatoduodenal (along the proper hepatic artery, including portal)
- Splenic artery

- Splenic hilum

For gastrectomy specimens, at least 16 regional lymph nodes should be removed and assessed pathologically.

Involvement of other intra-abdominal lymph nodes, such as retropancreatic, pancreaticoduodenal, peripancreatic, superior mesenteric, middle colic, para-aortic, or retroperitoneal nodes, is classified as distant metastasis.¹

J. Pathologic Stage Classification

The TNM staging system for gastric carcinoma of the American Joint Committee on Cancer (AJCC) and the International Union Against Cancer (UICC) is recommended and shown below.¹

According to AJCC/UICC convention, the designation “T” refers to a primary tumor that has not been previously treated. The symbol “p” refers to the pathologic classification of the TNM, as opposed to the clinical classification, and is based on gross and microscopic examination. pT entails a resection of the primary tumor or biopsy adequate to evaluate the highest pT category, pN entails removal of nodes adequate to validate lymph node metastasis, and pM implies microscopic examination of distant lesions. Clinical classification (cTNM) is usually carried out by the referring physician before treatment during initial evaluation of the patient or when pathologic classification is not possible.

Pathologic staging is usually performed after surgical resection of the primary tumor. Pathologic staging depends on pathologic documentation of the anatomic extent of disease, whether or not the primary tumor has been completely removed. If a biopsied tumor is not resected for any reason (eg, when technically infeasible) and if the highest T and N categories or the M1 category of the tumor can be confirmed microscopically, the criteria for pathologic classification and staging have been satisfied without total removal of the primary cancer.

TNM Descriptors

For identification of special cases of TNM or pTNM classifications, the “m” suffix and “y,” “r,” and “a” prefixes are used. In the AJCC 8th edition, “y” affects the stage grouping.

The “m” suffix indicates the presence of multiple primary tumors in a single site and is recorded in parentheses: pT(m)NM.

The “y” prefix indicates those cases in which classification is performed during or after initial multimodality therapy (ie, neoadjuvant chemotherapy, radiation therapy, or both chemotherapy and radiation therapy). The cTNM or pTNM category is identified by a “y” prefix. The ycTNM or ypTNM categorizes the extent of tumor actually present at the time of that examination. The “y” categorization is not an estimate of tumor before multimodality therapy (ie, before initiation of neoadjuvant therapy).

The “r” prefix indicates a recurrent tumor when staged after a documented disease-free interval and is identified by the “r” prefix: rTNM.

The “a” prefix designates the stage determined at autopsy: aTNM.

Lymphovascular Invasion

Lymphovascular invasion (LVI) indicates whether microscopic lymphatic and/or vascular invasion is identified in the pathology report. LVI includes lymphatic invasion, vascular invasion, or lymph-vascular invasion. By AJCC/UICC convention, LVI does not affect the T category indicating local extent of tumor unless specifically included in the definition of a T category (also see Note G).

T Category Considerations (Figures 3-5)

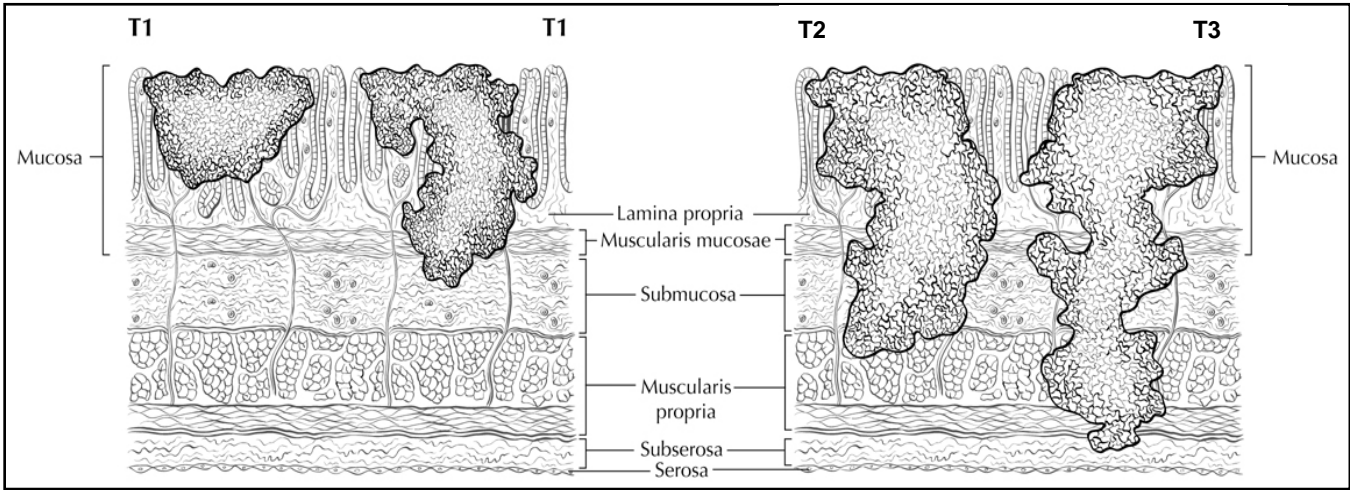


Figure 3. Definitions of T1, T2, and T3. Tumor invading the lamina propria is classified as T1a (left side in T1 illustration), whereas tumor invading the submucosa is classified as T1b (right side). T2 tumor invades the muscularis propria. T3 tumor invades the subserosal adipose tissue. Used with permission of the American Joint Committee on Cancer (AJCC), Chicago, IL. The original source for this material is the *AJCC Cancer Staging Atlas* (2006) edited by Greene et al¹⁴ and published by Springer Science and Business Media, LLC, www.springerlink.com.

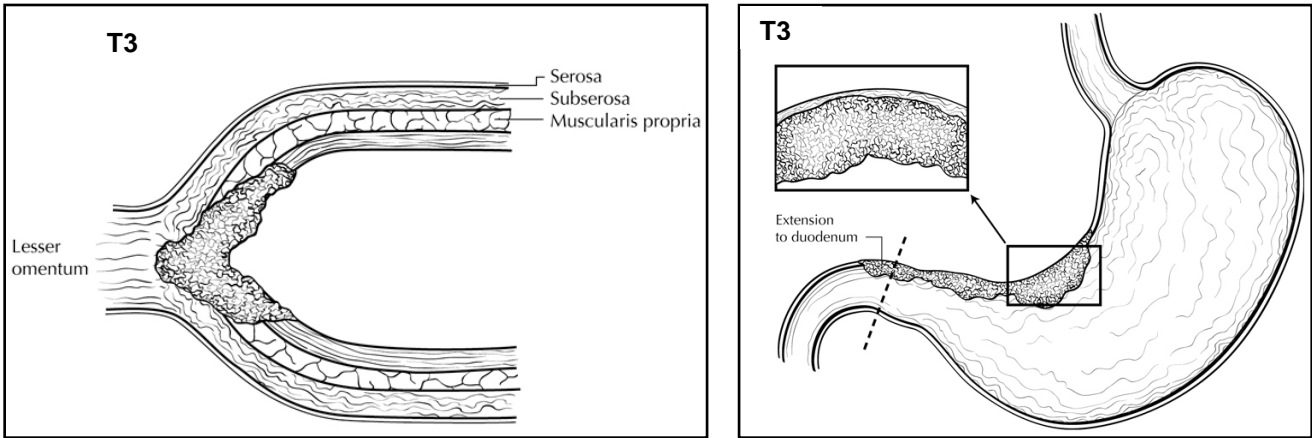


Figure 4. T3 is defined as tumor that invades the subserosa. A T3 tumor may penetrate the muscularis propria with extension into the gastroduodenal or gastrophrenic ligaments, or into the greater or lesser omentum (upper panel), without perforation of the visceral peritoneum covering these structures. Distal extension to duodenum (lower panel) does not affect T category. Used with permission of the American Joint Committee on Cancer (AJCC), Chicago, IL. The original source for this material is the *AJCC Cancer Staging Atlas* (2006) edited by Greene et al¹⁴ and published by Springer Science and Business Media, LLC, www.springerlink.com.

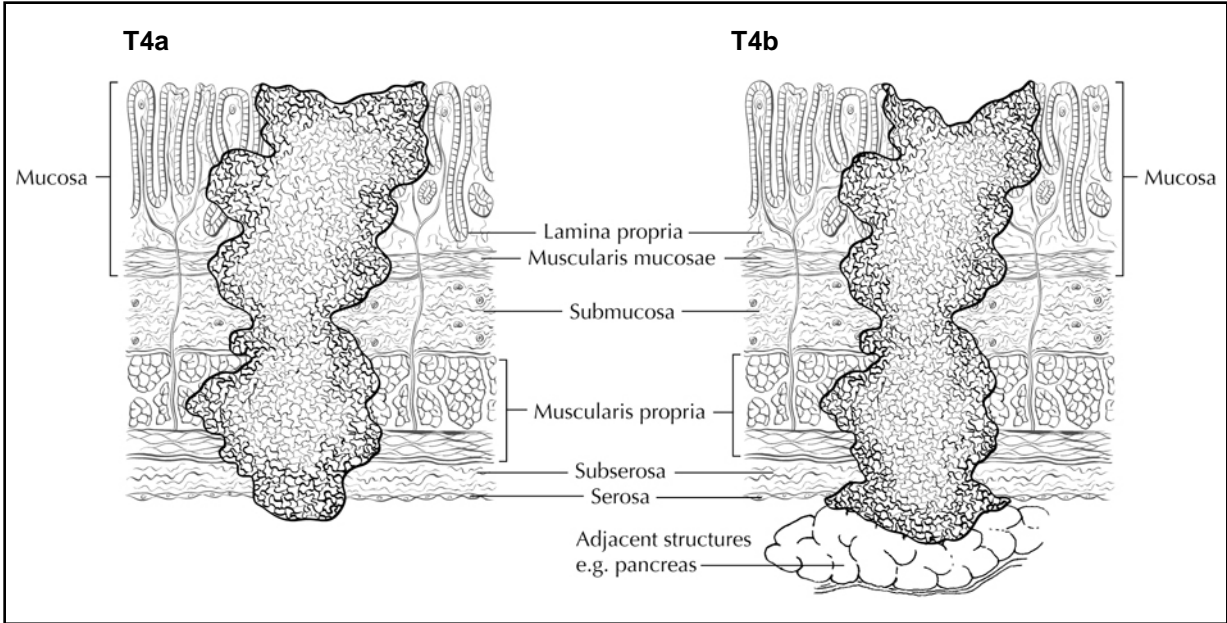


Figure 5. T4a tumor penetrates the serosa (visceral peritoneum) without invasion of adjacent structures, whereas T4b tumor invades adjacent structures, such as the pancreas (shown). Used with permission of the American Joint Committee on Cancer (AJCC), Chicago, IL. The original source for this material is the *AJCC Cancer Staging Atlas* (2006) edited by Greene et al¹⁴ and published by Springer Science and Business Media, LLC, www.springerlink.com.

N Category Considerations

A designation of N0 should be used if all examined lymph nodes are negative, regardless of the total number removed and examined.¹ Lymph nodes containing isolated tumor cells, defined as single tumor cells or small clusters of cells not more than 0.2 mm in diameter, are classified as pN0. However, in treated gastric cancers, positive lymph nodes are defined as having at least one focus of residual tumor cells in the lymph nodes regardless of size.

Metastatic tumor deposits in the subserosal fat adjacent to a gastric carcinoma, without evidence of residual lymph node tissue, are considered regional lymph node metastases for purposes of gastric cancer staging¹. Tumor deposits are defined as discrete tumor nodules within the lymph drainage area of the primary carcinoma without identifiable lymph node tissue or identifiable vascular or neural structure. Shape, contour, and size of the deposit are not considered in these designations. Nodules implanted on the peritoneal surface are considered distant metastases (M1).

Stage Groupings

A separate stage grouping is used to stage patients receiving preoperative therapy due to the fact that prognostic implication for ypTNM differs from those of equivalent pTNM.

Stage Groupings for pTNM

Stage 0	Tis	N0	M0
Stage IA	T1	N0	M0
Stage 1B	T1	N1	M0
	T2	N0	M0
Stage IIA	T1	N2	M0
	T2	N1	M0
	T3	N0	M0
Stage IIB	T1	N3a	M0
	T2	N2	M0
	T3	N1	M0
	T4a	N0	M0

Stage IIIA	T2	N3a	M0
	T3	N2	M0
	T4a	N1-2	M0
	T4b	N0	M0
Stage IIIB	T1-2	N3b	M0
	T3	N3a	M0
	T4a	N3a	M0
	T4b	N1-2	M0
Stage IIIC	T3	N3b	M0
	T4a	N3b	M0
	T4b	N3a or N3b	M0
Stage IV	Any T	Any N	M1

Stage groupings for ypTNM

Stage I	T1-2	N0	M0
	T1	N1	M0
Stage II	T1	N2-3	M0
	T2	N1-2	M0
	T3	N0-1	M0
	T4a	N0	M0
Stage III	T2	N3	M0
	T3	N2-3	M0
	T4a	N1-3	M0
	T4b	Any N	M0
Stage IV	Any T	Any N	M1

K. Other Findings

One of the most important risk factors for development of gastric carcinoma is long-standing infection with *Helicobacter pylori*, which leads to chronic gastritis and mucosal atrophy with intestinal metaplasia; autoimmune atrophic chronic gastritis, also a chronic inflammatory condition, is also associated with increased risk.¹² Occasionally, gastric carcinoma arises in a preexisting gastric polyp, most commonly large hyperplastic polyps in the setting of atrophic gastritis. Previous gastric surgery, such as Billroth I or Billroth II procedures for both benign and malignant indications, predisposes to the development of carcinoma in the remnant stomach; such tumors typically arise approximately 25 years after surgery for benign diseases.¹³

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